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# NOTICE OF ALLOWANCE AND FEE(S) DUE

75563 7590 09/25/2009

ROPES & GRAY LLP PATENT DOCKETING 39/361 1211 AVENUE OF THE AMERICAS EXAMINER
D AGOSTINO, PAUL ANTHONY

PAPER NUMBER

ART UNIT

NEW YORK, NY 10036-8704 DATE MAILED: 09/25/2009

 APPLICATION NO.
 FILING DATE
 FIRST NAMED INVENTOR
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 09/827,657
 04/05/2001
 Richard E. McNutt
 ODS/035
 5396

TITLE OF INVENTION: SYSTEMS AND METHODS FOR RECOGNIZING PREFERRED WAGERERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(8) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	12/28/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION NOT THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

appropriate. All further indicated unless corrects maintenance fee notifica	correspondence includir ed below or directed oth	or transmitting the 1880 ig the Patent, advance of nerwise in Block 1, by (	rders and notification of r a) specifying a new corres	naintenance fees wi pondence address;	ill be n and/or	nailed to the current (b) indicating a sepa	correspondence address a trate "FEE ADDRESS" fo
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.			
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NEW YORK, N	Y 10036-8704						(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTORNEY DOCKET NO. CONFIRMATION		CONFIRMATION NO.
09/827,657 TITLE OF INVENTION	04/05/2001 SYSTEMS AND MET	THODS FOR RECOGNE	Richard E. McNutt ZING PREFERRED WAGI	ERERS		ODS/035	5396
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nonprovisional	NO	\$1510	\$300	\$0		\$1810	12/28/2009
EXAM	INER	ART UNIT	CLASS-SUBCLASS	1			
D AGOSTINO, P.	D AGOSTINO, PAUL ANTHONY		463-025000	ı			
"Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.  3. ASSIGNEE NAME A	ondence address (or Cha 3/122) attached. ication (or "Fee Address 12 or more recent) attach ND RESIDENCE DAT/ less an assignee is ident h in 37 CFR 3.11. Comp	inge of Correspondence  Indication form and. Use of a Customer  A TO BE PRINTED ON	2. For printing on the p (1) the names of up to or agents OR, alternativ (2) the name of a singl registered attorney or 2 registered patent atto listed, no name will be THE PATENT (print or tyr data will appear on the p T a substitute for filing an (B) RESIDENCE: (CITY	3 registered patent vely, e firm (having as a agent) and the name rneys or agents. If n printed.	members of up	er a 2et to et is 3entified below, the d	ocument has been filed for
Please check the appropr	iate assignee category or	categories (will not be p	rinted on the patent):	Individual 🗖 Cor	rporatio	on or other private gro	oup entity Government
4a. The following fee(s) are submitted:    Issue Fee   Publication Fee (No small entity discount permitted)   Advance Order - # of Copies			b. Payment of Fee(s): (Plea A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo	d. Form PTO-2038	is attac	:hed.	
	s SMALL ENTITY state	is. See 37 CFR 1.27.	b. Applicant is no long				
interest as shown by the	records of the United Sta	ites Patent and Trademarl	d from anyone other than t c Office.	ac appreciate a regio	tereo a	normey or agent, or a	ic assigned of outer party in
Authorized Signature				Date			
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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010. OMB 0651-0033

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ROPES & GRAY LLP			D AGOSTINO, PAUL ANTHONY		
PATENT DOCK		ART UNIT	PAPER NUMBER		
1211 AVENUE ( NEW YORK, N		3714			

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1056 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1056 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

Application No.	Applicant(s)			
09/827,657	MCNUTT ET AL.			
Examiner	Art Unit			
Paul A. D'Agostino	3714			

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

- This communication is responsive to BPAI Decision filed 9/22/2009.
- The allowed claim(s) is/are 1,5,11,15,19 and 25.
- Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - b) ☐ Some\* c) ☐ None of the: a)  $\square$  All
    - Certified copies of the priority documents have been received.
    - 2. Certified copies of the priority documents have been received in Application No. \_\_\_
    - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
  - \* Certified copies not received:

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) hereto or 2) to Paper No./Mail Date
  - (b) I including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6. 

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

- 1. Notice of References Cited (PTO-892)
- 2 Notice of Draftperson's Patent Drawing Review (PTO-943).
- Information Disclosure Statements (PTO/SB/08). Paper No./Mail Date
- 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application 6 T Interview Summery (PTO-413). Paper No./Mail Date
- 7. ☐ Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- 9. ☐ Other

/Paul A. D'Agostino/ Examiner, Art Unit 3714 Application/Control Number: 09/827,657 Page 2

Art Unit: 3714

#### DETAILED ACTION

This responds to the Board of Patent Appeals and Interferences decision to reverse Examiner's Final Rejection filed 03/05/2007. Claims 2-4, 6-10, 12-14, 16-18, 20-24, and 26-28 were withdrawn by Applicant prior to the appeal due to non-elected species. Claims 1, 5, 11, 15, 19, and 25 were appealed. Thus, Claims 1, 5, 11, 15, 19, and 25 are now pending in the application.

### Allowable Subject Matter

1. Claims 1, 5, 11, 15, 19, and 25 are allowed.

#### Conclusion

- Any inquiry concerning this communication or earlier communications from the
  examiner should be directed to Paul A. D'Agostino whose telephone number is
  (571)270-1992. The examiner can normally be reached on Monday Friday, 7:30 a.m. 5:00 p.m..
- If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Dmitry Suhol can be reached on (571) 272-4430. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.
- 4. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only.

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Art Unit: 3714

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/John M Hotaling II/ Primary Examiner, Art Unit 3714

/Paul A. D'Agostino/ Examiner, Art Unit 3714